

Please use this form if you wish to open an **OpenMarkets trading account**:

- as an INDIVIDUAL (or Sole Trader)
- with JOINT applicants (2 or 3 persons)
- in trust for a MINOR (under 18 years old)

Need help?

Contact Client Services
1300 769 433
service@openmarkets.com.au

Applications can be returned to us:

Via Mail to: OpenMarkets Australia Ltd
Client Services
Suite 300, 189 Queen Street
Melbourne VIC 3000

Along with:

- certified copies of your identification documents as outlined in the Appendix.

Via Email to: service@openmarkets.com.au

**** Australian residents only****

ONLINE ID CHECK – If you are submitting via email, please consent to the electronic verification check in this application. **Important note:** if you do not pass this check you will need to mail to us a certified copy of your identification as outlined in the Appendix. We will notify you if this happens.

Existing OpenMarkets clients – ID check not required

If you're an existing client of OpenMarkets, just provide your account number(s) in this application and we'll link your new account to your existing login. No ID check will be required again.

Choice of Platform

Choose to trade using one or more of following trading platforms:

Platform	Cost per month	Suited to
WebTrader	FREE	Long term / semi-active investors
Pulse	\$125*	Active & professional traders
IRESS Trader	\$160*	Active investors / traders
IRESS Professional	\$1,200^	Active & professional traders

*FREE if you generate \$600 in brokerage per quarter

^Fee varies depending on features required



Linked Cash Account – for trade settlement

To settle transactions on your OpenMarkets account you will need a Cash Account with one of our approved providers, unless you wish to settle your account using a margin loan.

Providers	Cash Product	New Cash Account	Existing Cash Account
Macquarie	Cash Management Account	Indicate in this application	Macquarie Third Party Authority Form
ANZ	Cashactive Account*	Indicate in this application	N/A
Bank West	Cash Management Account	Download application	Bank West Third Party Authority Form

*International applicants please note: the ANZ Cashactive Account is the only option available for overseas investors.

New Cash Account required

If you do not have an existing Cash Account, we can either:

1. pass on the information in this application to initiate a new online **Macquarie Cash Management Account (CMA) application** on your behalf (an activation link will then be emailed to all applicants)
2. establish an **ANZ Cashactive Account (a closed trust account)** on your behalf. For information on how this account operates visit openmarkets.com.au/anz-cashactive-account
3. OR you may **mail to us a completed Bank West CMA application** and attach to this form along with original certified copies of your ID as outlined in the Appendix.

Existing Cash Account

If you have an existing Cash Account in the same name, simply provide your BSB/Account details in Section 3 of this application and **download/complete the corresponding third party authority form above** and attach to this form.

Communication from the Banks ****New Cash Accounts only****

After OpenMarkets has submitted your application on your behalf to your provider of choice, you will receive a Welcome Letter directly from the bank along with your internet banking details. See below for bank's communications process.

Providers	Welcome Letter with internet banking details	Internet banking PIN / Password
Macquarie	Mailed to your residential address	Mailed to your residential address
ANZ	Emailed to you by OpenMarkets	N/A
Bank West	Mailed to your residential address	Mailed to your residential address

Using your margin loan – for trade settlement

To use your [existing margin loan](#) to settle transactions on your account, please provide the details in Section 6 and **complete the corresponding third party authority form below** and attach to this form. This authority allows OpenMarkets to check your stock and cash positions to minimise settlement failure.

A linked cash account is not required for margin loan trading applications.

Providers	Third Party Authority Form
Leveraged Margin Lending	Leveraged Third Party Authority Form
NAB Margin Lending	NAB Third Party Authority Form
All other margin lenders	Margin Lending Authority Letter

Use of Email – for logins and contract notes

At least one email address is required to receive contract notes and to establish at least one trading platform login. Additional emails can be provided for other applicants but it **must be the person's own unique email address**.

Refer to Clause 18 in the [Client Agreement](#) on sharing email addresses.

Important reading

Before you submit this application it is important that you have read and understood the following OpenMarkets documents:

1. [Client Agreement](#) – outlines the terms and conditions of trade, including CHES Sponsorship agreement
2. [Financial Services Guide](#) – summary of fees and transactional terms and conditions
3. [Best Execution Policy](#) – outlines our duty and policy to ensure the best execution for clients

All information provided is in accordance with the Privacy Act 1988 and its amendments. OpenMarkets Privacy Policy and the above disclosures and agreements are also located on the [OpenMarkets Forms](#) page.

Anti-Money Laundering & Counter-Terrorism Financing Act (AML/CTF Act)

The AML/CTF Act requires us to collect identification document(s) and other supporting information from you to verify your identity, the identity of any underlying beneficial owner or the source or destination of any payment to or from your trading account, Cash Management Account or any other purpose pursuant to the AML/CTF Act.

Identification documents provided by you or your agent must be certified (see the Appendix in this application for requirements). Non-English documents must be accompanied by an English translation prepared by an accredited translator.

Politically Exposed Person (PEP)

We also require you to disclose whether you or any beneficial owners are, or have an association with, a PEP. A PEP is an individual who holds a prominent public position or function in a government body or an international organisation in Australia or overseas, such as a Head of State, or Head of a Country or Government, or a Government Minister, or equivalent senior politician.

A PEP can also be an immediate family member of a person referred to above, including spouse, de facto partner, child, and a child's spouse or defacto partner and a parent. Finally, a PEP can also be a close associate of a person referred above, including where an individual and a person listed above are in a joint beneficial ownership of a legal entity.

Where you identify yourself as, or have an association with, a PEP, we may request additional information from you.

Consent to Electronic Verification (online identity check)

You may choose to consent to an electronic verification of your identity in this application instead of mailing to us your identification documents (as outlined in the above section). Conducting an electronic verification of your identity involves us disclosing your personal information to a credit reporting agency, as detailed in our Client Agreement.

If do not consent to electronic verification check in this application OR you do not successfully pass the check, you will need to mail to us certified copies of your identification documents (as outlined in the Appendix) so that we can verify your identity manually.

Please note, electronic verification is not available to:

- Applicants that have opted for a **new Bank West CMA** in this application
- **Foreign applicants** residing overseas.

For further information on electronic verification and credit reporting agency's use of your personal information, please refer to our Client Agreement.

Foreign Account Tax Compliance Act (FATCA)

This application form contains specific questions about your status for FATCA purposes.

FATCA is a US law that aims to improve tax information reporting on US persons to the United States Internal Revenue Service (IRS). FATCA requires us to undertake certain identification and due diligence procedures with respect to traders and to report annually to the IRS, via the Australian Tax Office (ATO) in Australia, on US persons who directly or indirectly own financial accounts. In certain cases, we are also required to withhold and pay to the IRS a percentage of certain categories of US source payments.

OpenMarkets is not able to provide advice to individuals and cannot determine the impact or compliance obligations of FATCA for an individual's business activities. If you are unsure of your or your company's FATCA status, we strongly encourage you to seek advice from an accountant or tax specialist.

If you identify yourself as a US citizen or resident for tax purposes, we are required to report your information to the ATO, which may in turn report you to the IRS.

Further information is available on the ATO website (ato.gov.au) or the Internal Revenue Service (US) website (irs.gov).

What happens next?

1. Once all documents are received and you have satisfied our identification requirements, your application will be processed within 2-4 business days. Please note HIN transfers may take an additional 3 days to process.
2. **For applications requiring a new Macquarie CMA only:** **IMPORTANT STEP!**
An activation email will be sent to each applicant with a link to review your application online and consent to Macquarie's terms and conditions. To avoid delays, please action this ASAP.
For all other new CMA applications, the above does not apply.
3. An **OpenMarkets Welcome Email** will be sent to all applicants who have provided an email address with the details of your OpenMarkets trading account, cash account details and platform login.
4. Transfer funds into your new or existing cash account at least 24 hours prior to trading.
5. Log in to your chosen platform and start trading!

Thank you for choosing OpenMarkets! For assistance call 1300 769 433 or email service@openmarkets.com.au

Please use this form if you wish to open an OpenMarkets trading account as an INDIVIDUAL (or Sole Trader), with JOINT applicants (2 or 3 persons) or in trust for a MINOR.

SECTION 1 – ACCOUNT TYPE		MANDATORY
<u>INDIVIDUAL</u> (or Sole Trader)	<u>JOINT</u> applicants (2 persons)	
<u>MINOR</u> (under 18 years of age) IN TRUST FOR _____ (Name of Minor)		
SECTION 2 – APPLICANT DETAILS		MANDATORY
APPLICANT 1 (Primary Contact)		MANDATORY
Title	<input style="width: 100%;" type="text"/>	Existing OpenMarkets account no. (if applicable):
Given Name(s) Include middle name	<input style="width: 100%;" type="text"/>	
Surname	<input style="width: 100%;" type="text"/>	
Date Of Birth	/ /	Gender: Male Female
Residential Address Not a PO Box	<input style="width: 100%;" type="text"/>	
Occupation	Industry:	
Mobile	Other Phone:	
Email	<input style="width: 100%;" type="text"/>	
<i>Must be your own email address. This email will be the primary recipient of contract notes and will be used as your platform login username.</i>		
CITIZENSHIP, RESIDENCY & TAX INFORMATION		
Please provide tax information details of all countries in which you are citizen, resident and tax resident.		
If you are a U.S. citizen or resident for tax purposes please also complete the FATCA Supplementary Declaration Form available at openmarkets.com.au/support/form-library and attach to this application.		
Country	Citizen	Resides in
<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
	Tax Resident	^TFN OR Exemption Code / TIN
	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
Are you a Politically Exposed Person (PEP) or an immediate family member or close associate of a PEP? Yes No		
MAIN SOURCE(S) OF FUNDS FOR INVESTMENT		
Employment	Superannuation Savings	Financial investments
Inheritance/Gift		
Other (please provide a brief description): _____		
CONSENT TO ELECTRONIC VERIFICATION		OPTIONAL
Important: if you <u>do not pass</u> this check you will need to <u>mail to us certified copies of your ID</u> (see Appendix).		
Yes, I consent to electronic verification in accordance with the terms set out on page 3 of this application.		
Previous residential address: _____		
If less than 3 years at your current address, providing this can assist in passing the check.		

[^] OpenMarkets is authorised by the Australian taxation law to ask for your TFN. You're not required to provide your TFN and it's not an offence if you decide not to. However if you don't provide it, any withholding tax required by law to be deducted will be deducted at the highest marginal rate (plus Medicare Levy where applicable). If you provide your TFN, you authorise the disclosure of it to third parties where doing so is in accordance with taxation law.

SECTION 2 – APPLICANT DETAILS (continued)

SOLE TRADER INFORMATION

IF APPLICABLE

Business Name (if any) _____ ABN (if any) _____

Principal Place of Business _____
 Not a PO Box _____

APPLICANT 2

IF APPLICABLE

Title	_____	Existing OpenMarkets account no. (if applicable):	_____
Given Name(s) Include middle name	_____		
Surname	_____		
Date Of Birth	____/____/____	Gender:	Male Female
Residential Address Same as Applicant 1	_____		
Occupation	Industry: _____		
Mobile	Other Phone: _____		
Email	_____		

Optional but if provided, must be your own email address. If you wish to have your own trading login, please ensure to provide your mobile number above.

CITIZENSHIP, RESIDENCY & TAX INFORMATION

Please provide tax information details of all countries in which you are citizen, resident and tax resident.

If you are a U.S. citizen or resident for tax purposes please also complete the [FATCA Supplementary Declaration Form](#) available at openmarkets.com.au/support/form-library and attach to this application.

Country	Citizen	Resides in	Tax Resident	TFN OR Exemption Code / TIN
_____				_____
_____				_____

Are you a Politically Exposed Person (PEP) or an immediate family member or close associate of a PEP? Yes No

MAIN SOURCE(S) OF FUNDS FOR INVESTMENT

Employment Superannuation Savings Financial investments Inheritance/Gift
 Other (please provide a brief description) : _____

CONSENT TO ELECTRONIC VERIFICATION

OPTIONAL

Important: if you do not pass this check you will need to mail to us certified copies of your ID (see Appendix).

Yes, I consent to electronic verification in accordance with the terms set out on page 3 of this application.

Previous residential address: _____
 If less than 3 years at your current address, providing this can assist in passing the check.

For APPLICANT 3 please photocopy this page and complete/attach to application. Must also sign in Section 8.

To settle transactions on your OpenMarkets account you will need to have one of the following:

- **Macquarie** Cash Management Account
- **ANZ** Cashactive Account **the only option available for international applicants**
- **Bank West** Cash Management Account*

If you do not have an existing account with any of the above providers, we can either:

1. pass on the information in this application to initiate a new online Macquarie CMA application on your behalf
2. establish a new ANZ Cashactive Account (a closed trust account) on your behalf. *For information on how this account operates visit openmarkets.com.au/anz-cashactive-account*
3. OR you may complete a Bank West application and mail to OpenMarkets along with this form and certified copies of your ID (see Appendix)

New Cash Account required

Please select your preferred provider:

Macquarie – you will receive an activation email after OpenMarkets initiates a new online application

ANZ – OpenMarkets will open your behalf. No further action required

Bank West – please mail to OpenMarkets a [Bank West CMA Application](#) along with certified ID and this form

Existing Cash Account

OPTIONAL

If you have an existing Cash Account in the same name(s) that you would like to use to settle transactions, please provide the details below and **complete/attach the corresponding third party authority form** along with this form, which grants OpenMarkets with debit/credit authority and viewing access on your account.

Provider name:

Macquarie complete/attach [Macquarie Third Party Authority Form](#)

Bank West complete/attach [Bank West Third Party Authority Form](#)

Existing A/C Name: _____

BSB _____ A/C No. _____

INCOME DIRECTION (for dividends)

Would you like OpenMarkets to provide your linked cash management account details to all issuers/share registries (or its nominee) for the purposes of paying dividends, distributions, interest or income payable referable to your sponsored holdings via direct credit?

Yes, please advise each issuer of my linked cash management account details

No, I will notify each issuer separately including participation in Dividend Reinvestment Plans (DRP's)

Please choose your trading platform by indicating below:

Applicant 1	Applicant 2	Platform	Cost per month	Suited to
		WEBTRADER	FREE	Long term / semi-active investors
		PULSE	\$125*	Active & professional traders
		IRESS TRADER	\$160*	Active investors / traders
		IRESS PROFESIONAL	\$1,200^	Active & professional traders

*FREE if you generate \$600 in brokerage per quarter. Otherwise fees are charged quarterly in arrears and debited from your linked cash account. ^Fee varies depending on features required.

SECTION 5 – APPLICATION TO TRADE WARRANTS/ETPs

OPTIONAL

Would you like to apply to trade Warrants and/or Exchange Traded Products such as ETFs? Yes

If **Yes** is selected, you acknowledge that all information relating to Warrants in the Client Agreement applies, which states that before you instruct us to deal in warrants, you must have read a copy of the Explanatory Booklet issued by ASX in respect of Warrants, which can be obtained via our website or directly via the ASX website.

SECTION 6 – CHESS SPONSORSHIP & SETTLEMENT

MANDATORY

All trading accounts with OpenMarkets will be CHESS sponsored by us (your broker) unless you are using a margin loan to settle on this account. This means a single Holder Identification Number (HIN) will be used to identify all your holdings under this account at the share registries. You can choose to transfer an existing HIN from another broker or otherwise we will establish a new HIN.

Please provide the address that you wish to register at CHESS and the relevant share registries.

CHESS Registration Address

Same as Applicant 1

TRANSFER EXISTING HIN

OPTIONAL

If you have an existing HIN at another broker that you wish to transfer to OpenMarkets along with all of its holdings, or you would like to transfer some of the holdings, please complete the below details.

Current Sponsoring Broker	_____	Broker PID (if known)	_____
Account Name (as at other broker)	_____		
Account Designation (if applicable)	<		A/C >
Registration Address (as at other broker)	_____		
Holder Identification Number (HIN)	X		_____ Max 11 digits.

What would you like to transfer?

Transfer my/our HIN and all its holdings – *please attach portfolio summary to this application*

Transfer only some of holdings from my/our HIN – *please attach details of holdings to this application*

AUTHORISATION TO TRANSFER HIN/HOLDINGS

Account Holder 1
(PRINT NAME)

Signature

X

Account Holder 2
(PRINT NAME)

Signature

X

Account Holder 3
(PRINT NAME)

Signature

X

Date

OR

SETTLE USING YOUR MARGIN LOAN

OPTIONAL

Complete only if you wish to settle transactions through an existing margin loan. **Please also complete the provider's corresponding third party authority form.**

Name of Margin Lender (M/L)

e.g. Leveraged

M/L Account Name

M/L Facility No.

M/L HIN (if applicable)

SECTION 7 – INTEREST IN A PUBLIC COMPANY

Are you or any of your family members associated with an ASX and/or NSX-listed company? For example, you are a director, executive officer or owner of more than 5% of shares?

No

Yes Ticker Symbol(s): _____ Applicant Name(s): _____

If you have ticked yes, OpenMarkets will contact you for more information.

SECTION 8 – CLIENT DECLARATION

MANDATORY

ALL applicants MUST sign this declaration.

By signing below I/we acknowledge that I/we have read, understood and agreed to the terms and conditions outlined in the [OpenMarkets Client Agreement](#) including Part 2 CHESS Sponsorship agreement; the [Financial Services Guide \(FSG\)](#) and [Best Execution Policy](#); and any other related third party agreements as available to me/us via the OpenMarkets website.

I/We acknowledge and declare that:

1. All the information provided in this Application Form is true and correct.
2. I am /We are individual/s over 18 years of age, or, and have the legal power to invest in accordance with this application. If this application is signed under Power of Attorney, the attorney has not received notice of any revocation or limitation of that power.
3. OpenMarkets can provide information on the status of trading/trading account/Cash Management Account to my/our nominated financial adviser/broker/intermediary.
4. OpenMarkets may store, disclose and use my personal information in accordance with its [Privacy Policy](#).
5. OpenMarkets may disclose to any service provider or any regulatory body any information concerning me/us as detailed in the Privacy Policy.
6. By providing personal information in this application form, I/we understand and consent to the possibility that OpenMarkets may transfer this personal information outside of Australia for processing or other purposes as detailed in the Privacy Policy.
7. Should I/we fail to provide, or delay in providing, OpenMarkets any information or documentation requested of me/us my/our application for a Trading Account may be delayed or refused and OpenMarkets will not be liable for any loss arising from the rejection of any application.
8. I/We understand the risks associated with share trading, as outlined in the risk statement contained in the OpenMarkets Client Agreement

AML/CTF Terms and Conditions

9. OpenMarkets is required to comply with the AML/CTF Laws and I/we undertake to provide them with such additional information or documentation as may be requested of me/us, from time to time, to ensure its compliance with such requirements.
10. By making this application I/We will not knowingly do anything to put OpenMarkets in breach of AML/CTF Laws, and agree to promptly notify each of them if I/we am/are aware of anything that would put them in breach of AML/CTF Laws.
11. I/We acknowledge that I/we am/are not aware and have no reason to suspect that:
 - the money used to fund my/our trading is derived from or related to money laundering, terrorism financing or similar activities (Illegal Activities); and
 - proceeds of my/our trading will fund Illegal Activities.
12. I/We consent to OpenMarkets disclosing, in connection with AML/CTF Laws, any of my/our Personal Information (as defined in the Privacy Act 1988 (Commonwealth) and its amendments) that they may have.
13. I/We acknowledge that in certain circumstances my/our Trading Account may be frozen or blocked where it is used in connection with Illegal Activities or suspected Illegal Activities. Freezing or blocking can arise as a result of the monitoring that is required by AML/CTF Laws. If this occurs, OpenMarkets is not liable to me/us for any consequences or losses whatsoever and I/we agree to indemnify them if they are found liable to a third party in connection with the freezing or blocking of my/our units.

FATCA Information

14. I/We will provide OpenMarkets or its nominee any information that OpenMarkets reasonably requires in order to enable OpenMarkets to meet all of its compliance, reporting and other obligations under the United States of America Foreign Account Tax Compliance Act (FATCA) and all associated rules and regulations from time to time (including, without limitation, the Inter-Governmental Agreement (IGA) entered into between the governments of the US and Australia).
15. I/We understand that where I/we have provided OpenMarkets or its nominee with information about my status or designation under or for the purposes of FATCA (including, but without limitation, US residency or citizenship status and FATCA status as a particular entity type) and all associated rules and regulations, OpenMarkets will treat that information as true and correct without any additional validation or confirmation being undertaken by OpenMarkets except where it is under a legal obligation to do so.

Consent to electronic verification

16. Where I/we have indicated in this application, I/we consent to OpenMarkets providing my personal information to a credit reporting agency for the purposes of conducting customer identification.

Signing Authority (for joint applicants)

Please tick to indicate signing requirements to change account details Any ONE to sign BOTH account holders to sign.

If no selection is made, 'both investors to sign' will be assumed.

Name of Applicant 1 (PRINT)	Name of Applicant 2 (PRINT)	Name of Applicant 3 (PRINT)
Signature	Signature	Signature
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Date		
<input type="text"/>		

APPENDIX: IDENTITY DOCUMENTATION & CERTIFICATION

Applicants who do not consent to electronic verification OR who fail the electronic verification check, will need to provide **CERTIFIED COPIES** of identification to OpenMarkets, as outlined below. Non-English documents must be accompanied by an English translation prepared by an accredited translator.

Please mail your identification documents along with this application to:

OpenMarkets Australia
Suite 300, 189 Queen Street
Melbourne VIC 3000

ACCEPTABLE FORMS OF IDENTIFICATION

Acceptable document combinations are:

- Two category A
- One category A and one Category B
- One category A and one Category C
- One category A and one Category D
- One category B and one Category C

CATEGORY A - an original or certified copy of a government issued photographic identification document

- A driver's licence or permit issued under a law of a State or Territory or equivalent authority of a foreign country that contains a photograph of the person in whose name the document is issued and date of birth (must not be expired)
- a passport issued by the government containing a photograph of the person in whose name the document is issued and date of birth (Australian passports may have expired within the last two years but not have been cancelled. All other passports must be current)
- a passport or a similar document issued for the purpose of international travel, that:
 - contains a photograph and the signature of the person in whose name the document is issued;
 - is issued by a foreign government, the United Nations or an agency of the United Nations; and
 - if it is written in a language that is not understood by the person carrying out the verification - is accompanied by an English translation prepared by an accredited translator

One of the following other photo ID may be provided:

- a card issued under a law of a State or Territory for the purpose of proving the person's age which contains a photograph of the person in whose name the document is issued and date of birth.
- a national identity card issued for the purpose of identification, that:
 - contains a photograph and the signature of the person in whose name the document is issued;
 - is issued by a foreign government, the United Nations or an agency of the United Nations
 - if it is written in a language that is not understood by the person carrying out the verification - is accompanied by an English translation prepared by an accredited translator.

It is not acceptable to produce two forms of the same ID type, such as both an Australian and a British passport.

CATEGORY B - an original or certified copy of a government issued non-photographic identification document (must not be expired)

- a birth certificate or birth extract issued by a State or Territory;
- a citizenship certificate issued by the Commonwealth;
- a citizenship certificate issued by a foreign government that, if it is written in a language that is not understood by the person carrying out the verification, is accompanied by an English translation prepared by an accredited translator;
- a birth certificate issued by a foreign government, the United Nations or an agency of the United Nations that, if it is written in a language that is not understood by the person carrying out the verification, is accompanied by an English translation prepared by an accredited translator;

One of the following Centrelink Pension cards may be provided:

- a health care card;
- a commonwealth seniors health care card;
- a pensioner concession card;
- an interim concession card

APPENDIX: IDENTITY DOCUMENTATION & CERTIFICATION (continued)

CATEGORY C - AN ORIGINAL OR CERTIFIED COPY OF EVIDENCE OF ADDRESS

- a tax assessment notice issued by the ATO within the preceding twelve months;
- a government benefits notice within the preceding twelve months;
- a utility bill within the preceding three months;
- a local government rates notice within the preceding three months;
- if you are under 18, a school attendance letter within the preceding three months;

CATEGORY D - AN ORIGINAL OR CERTIFIED COPY OF OTHER ID (must not be expired)

- a medicare card.

CERTIFYING YOUR DOCUMENTS

All copies of ID submitted to us must be certified by an approved certifier. We cannot accept copies of certified copies (where the certifier's signature is not an original). You can have copies of your ID certified by any one of the following:

In Australia

- Health professions: Pharmacist, Chiropractor, Dentist, Medical practitioner, Nurse, Optometrist, Physiotherapist, Psychologist
- Legal professions: Legal practitioner, Patent attorney, Trademarks attorney
- Court positions: Bailiff, Justice of the Peace, Judge, Magistrate, Registrar, or Deputy Registrar, Clerk, Master of a court, CEO of a Commonwealth court
- Accountant (member of ICA, ASA, NIA or CPA, ATMA, NTAA)
- Member of Chartered Secretaries Australia or Engineers Australia
- Commissioner for Affidavits, or Commissioner for Declarations (dependent on jurisdictions)
- Government representatives (elected): Federal, State or Territory or Local
- Public servants: Federal, State or Territory or Local – employed for five years or more.
- Permanent employees of the Australian Health Practitioner Regulation Agency
- Bank officer, building society officer, credit union officer, finance company officer – employed for five years or more
- Veterinary surgeon
- Minister of religion, or marriage celebrant
- Australian Defence Force (an officer; or a non-commissioned officer with 5+ years of continuous service; a warrant officer)
- Australasian Institute of Mining and Metallurgy
- Notary public
- Police officer, Sheriff or Sheriff's officer
- Teacher (full-time) at a school or tertiary education institution
- An officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more years of continuous service with one or more licensees
- A permanent employee of the Australian Postal Corporation with two or more years continuous service who is employed in an office supplying postal services to the public
- An officer with, or a credit representative of, a holder of an Australian credit licence, having 2 or more years of continuous service with one or more licensees.

Outside Australia:

- Justice of the Peace
- Notary public
- Australian Consular Officer or Australian Diplomatic Officer (within the meaning of the Consular Fees Act 1955)
- Employee of the Commonwealth or the Australian Trade Commission who works outside Australia.
- An officer with, or authorised representative of, a holder of an Australian financial services licence, having two or more years of continuous service with one or more licensees;
- An officer with, or a credit representative of, a holder of an Australian credit licence, having two or more years of continuous service with one or more licensees.

****Only original certified copies of ID will be accepted****